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## Client Data Sheet

☒ Direct Business
 ☐ First Clearing
 ☐ Update

Rep Name:

Rep No.:

## A. Client Information

Name (Individual, Minor, etc.) <b>RAYMOUR RAGHAKRISHNAN</b>			Name (Joint Tenant, Custodian, Guardian, etc.)		
Street address (No PO Boxes) <b>138 Brook Street Suite 2F</b>			Street address (No PO Boxes)		
City <b>Providence</b>	State <b>RI</b>	Zip Code <b>02906</b>	City	State	Zip Code
Country of Citizenship <b>U.S.A.</b>		Social Security No.	Country of Citizenship		Social Security No.
Birth Date		Country of Citizenship		Social Security No.	
Dependents		Day Phone #	Eve. Phone #		
Employer Name <b>Networked Display Systems</b>		Job Title <b>Founder</b>	Yrs. Emp. <b>2</b>		
Type of Business <b>Digital signage - Advertising</b>		Employer Address <b>Providence, RI</b>			
Annual Income <b>\$250,000+</b>		Net Worth (Excluding Residence) <b>\$1,000,000+</b>			
Liquid Assets <b>\$100,000+</b>	Investment Assets <b>\$100,000+</b>	Tax Bracket <b>MAX</b>			

B. Investment Experience:

Stocks 5 yrs Bonds 5 yrs Mutual Funds 5 yrs  
Options 5 yrs Real Estate 5 yrs Annuities 5 yrs Other      yrs

## C. Investment Objectives:

☐ Income
 ☐ Growth & Income
 ☐ Growth  
☒ Aggressive Growth
 ☐ Trading/Speculation
 ☐ Tax Management

## D. Risk Tolerance:

☐ Low
 ☐ Moderate
 ☐ Moderate to High
 ☒ High
 ☐ Speculative

## E. Affiliation Disclosure:

Are you, the joint owner or an immediate family member a senior officer, director or large shareholder of a public company? ☐ Yes ☒ No

If yes, what is the company name?

Are you, the joint owner or an immediate family member employed by SSC or another member of FINRA or a stock exchange? ☐ Yes ☒ No

If yes, what is the persons name and affiliation with this firm?

F. USA Patriot Act: (Check one I.D. type below and use this info to complete the remaining fields.)

☒ Driver's License
 ☐ State Issue ID
 ☐ Military ID  
☐ Resident Alien ID
 ☐ Passport

Issue State/Cntry ID #

Exp. Date

F. USA Patriot Act: (Check one I.D. type below and use this info to complete the remaining fields.)

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☐ Resident Alien ID
 ☐ Passport

Issue State/Cntry ID #

Exp. Date

New Hampshire/USA 05RNR84111 5/11/2010

## G. First Clearing Brokerage Accounts:

First Clearing Acct #:

Should all eligible dividends be reinvested? ☐ Yes ☐ NoIn mailing dividends, should principal payments be included? ☐ Yes ☐ No

Dividends/Interest	Sale Proceeds	Stocks Registration
<input type="checkbox"/> Credit Account	<input type="checkbox"/> Credit Account	<input type="checkbox"/> Hold in Street Name
<input type="checkbox"/> Mail Semi-Weekly*	<input type="checkbox"/> Mail Proceeds*	<input type="checkbox"/> Mail Certificates
<input type="checkbox"/> Mail Monthly*	<input type="checkbox"/> Mail Proceeds and Balance*	<input type="checkbox"/> DVP

\*Requires Distribution Request Form for IRA Accounts

 X [Signature] 12/13/07  
 Customer Signature Date

 X \_\_\_\_\_  
 Customer Signature Date

 X [Signature] 12/13/07  
 Representative Signature Date

 (Office Use Only) Approved By \_\_\_\_\_  
 Date

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